

Tfw

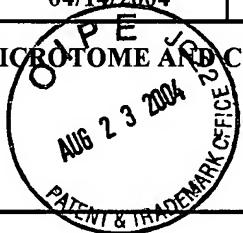
**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.
LNUP:113US

In Re Application Of: Stefan THIEM et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/823,927	04/14/2004	unknown	24041	3724	5293

Title: ROTARY MICROTOME AND COLLECTION ELEMENT FOR A ROTARY MICROTOME



Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

- The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
 LNUP:113US

In Re Application: Stefan THIEM et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/823,927	04/14/2004	unknown	24041	3724	5293

Title: ROTARY MICROTOME AND COLLECTION ELEMENT FOR A ROTARY MICROTOME



Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of _____ is attached.
- The Director is hereby authorized to charge and credit Deposit Account No. 50-0822 as described below.
 - Charge the amount of _____
 - Credit any overpayment.
 - Charge any additional fee required.
- Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)

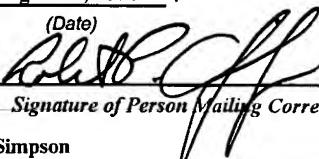
 (Date)

 Signature

 Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on August 20, 2004.

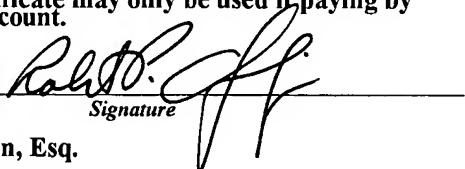
 (Date)


 Signature of Person Mailing Correspondence

 Robert P. Simpson

 Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.


 Signature

Robert P. Simpson, Esq.

Registration No. 33,034

CUSTOMER NO. 24041

SIMPSON & SIMPSON, PLLC

5555 Main Street

Williamsville, NY 14221-5406

Telephone No.: 716-626-1564

Facsimile No.: 716-626-0366

CC:

Dated: August 20, 2004



U.S. UTILITY PATENT APPLICATION
ATTORNEY DOCKET NO. LNUP:113US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Matter of United States Patent Application:

Applicant(s): Stefan THIEM et al.

Examiner: N/A

Application No.: 10/823,927

Art Unit: 3724

Filed: April 14, 2004

For: ROTARY MICROTOME AND COLLECTION ELEMENT
FOR A ROTARY MICROTOME

Certificate of Mailing by First Class Mail
I certify that this Information Disclosure Statement is being deposited on August 20, 2004 with sufficient postage with the U.S. Postal Service as first class mail under 37 C.F.R. §1.8 and is addressed to Mail Stop Amendment, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

Robert P. Simpson, Esq.
Registration No. 33,034

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Honorable Sir:

This Information Disclosure Statement is submitted in compliance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98.

Record is attached of published art for consideration during prosecution of the above-identified patent application. No representation is made or intended that a search has been made or, if made, was complete, or that no more pertinent art than that listed is available. It is expected that the Patent and Trademark Office will conduct an independent search for relevant

prior art.

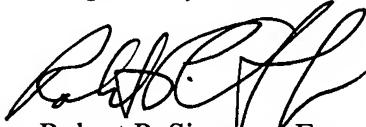
Unless otherwise indicated, or unless references cited are U.S. patents or patent application publications, a full text copy of the entire reference of published art is attached. Where specifically indicated, an equivalent English language patent or publication is attached in lieu of the translation.

In accordance with the Patent and Trademark Office Notice of August 15, 1980, also enclosed is a completed Form PTO-A820 (Form PTO-1449).

Please consider the following published art:

- An English language abstract is attached to German Patent No. DE 42 05 257 C2, published, June 3, 1993, mentioned in the German Examination Report and cited and discussed at page 1, line 25, of the specification, to provide a concise explanation.
- An English language abstract is attached to European Patent Publication EP 0 937 975 A2, mentioned in German Examination Report, to provide a concise explanation
- An English translation is attached to Der neue Standard innovativer Mikrotomtechnik, MICROM, 1996, S. 1,2.

Respectfully submitted,



Robert P. Simpson, Esq.
Registration No. 33,034
CUSTOMER NO. 24041
Simpson & Simpson, PLLC
5555 Main Street
Williamsville, NY 14221-5406
Telephone No. 716-626-1564

Dated: August 20, 2004

RPS/LAC
Enclosures (3)

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)
LNUP:113USApplication Number
10/823,927Applicant(s)
Stefan THIEM et al.Filing Date
04/14/2004Group Art Unit
3724

PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	DE4205257	06/03/1993	Germany				
	EP0937975	08/25/1999	Europe				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Der neue Standard innovativer Mikrotomtechnik, MICROM, 1996, S. 1.2

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.